TRANSMITTAL LETTER Docket No. (General - Patent Pending) 98-109 WALKER et al. Serial No. Filing Date Examiner Group Art Unit 09/219, 267 3622 December 23, 1998 James W. Myhre Title: METHOD AND APPARATUS FOR FACILITATING ELECTRONIC COMMERCE THROUGH PROVIDING CROSS-BENEFITS DURING A TRANSACTION TO THE ASSISTANT COMMISSIONER FOR PATENTS: Transmitted herewith is: RECEIVED Supplemental Information Disclosure Statement (6 pp.); PTO Form-1449 (9 pp.) and references cited therein (109); and Return Receipt Postcard. **GROUP 3600** 

in the above identified application.

No additional fee is required. 

A check in the amount of

is attached.

 $\boxtimes$ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed.

50-0271

\$180.00

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X Charge any additional fee required.

Dated: September 17, 2002

Dean P. Alderucci **Attorney for Applicants** PTO Registration No. 40,484 (203) 461-7337/phone (203) 461-7300/fax

Customer No. 22927

certify that this document and fee is being deposited Øeptember 17, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C.

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Signature of Person Mailing Correspondence

Michael D. Brinton

Typed or Printed Name of Person Mailing Correspondence

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ITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: WALKER et al.

Serial No.: 09/219,267

PATENT

Filing Date: December 23, 1998

For: METHOD AND APPARATUS FOR

**FACILITATING ELECTRONIC** 

COMMERCE THROUGH PROVIDING

CROSS-BENEFITS DURING A

**TRANSACTION** 

Examiner: James W. Myhre

Group Art Unit: 3622

Attorney Docket No: 98-109

Customer No.: 22927



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**GROUP 3600** 

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as priority mail in an envelope with sufficient postage and addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on September 17, 2002.

Dated: 09/17/02 By:

Michael D. Brinton

**Assistant Commissioner for Patents** Washington, D.C. 20231

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of

Attorney Docket No.: 98-109

is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

1. [ ]	Applicants respectfully direct the Examiner's attention to the pending U.S. patent applications listed on the enclosed Form PTO-1449. The Examiner is respectfully
	requested to fully consider and independently ascertain the teachings and relevance of
	those applications with respect to the instant application. Applicants would be pleased
	to provide the Examiner with a copy of these applications upon request.
2. [ ]	For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the
	English language, a European Search Report from a counterpart European application is
	enclosed. Such items are marked as reference letter(s):
	···································
3. [ ]	For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the
	English language, a concise explanation of the relevance of that item is incorporated in
	the specification of the above-identified application. Such items are marked as reference
	letter(s):
4. [ ]	No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure
	Statement since it is being filed in compliance with:
	[ ] 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-
	identified application.

[ ] 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491.

- [ ] 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office Action on the merits.
- 5. [ ] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. 1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
- 6. [X] A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c) after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action). The fee due under 37 C.F.R. §1.17(p) is to be paid as set forth in paragraph 10 below.
- 7. [ ] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in accordance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a Notice of Allowance, but before the payment of the issue fee, and is accompanied by:
  - a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
  - b. this document is to be considered as a petition requesting consideration of the information disclosure statement.

Application Serial No.: 09/219,267 Attorney Docket No.: 98-109

the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 10 c. below. 8. [ ] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with: 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition to Withdraw Application from Issue; 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition to Withdraw Application from Issue. 9. [ ] I hereby certify: that each item of information contained in the items listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of such communication is enclosed. that no item of information contained or listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information was known to any individual designated

10. [X] Please accept payment of the fees due as indicated below:

Disclosure Statement.

in §1.56(c) more than three months prior to the filing of this Information

Application Serial No.: 09/219,267 Attorney Docket No.: 98-109

- [ ] A check in the amount of \$180.00 is enclosed in payment of the fee due under 37 C.F.R. 1.17(p).
- [X] The Commissioner is hereby authorized to charge \$180.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(p).
- 11. [X] In addition to the citations listed above, applicants respectfully direct the Examiner's attention to the following U.S. patent applications, which are commonly assigned to the assignee of the instant application, and which may be deemed pertinent to the instant application:

Serial No.	<u>Inventors</u>	Filing Date	Group Art Unit	Examiner's Init.
09/045,036	Walker et al.	03/20/98	3642	
09/045,386	Walker et al.	03/21/98	2786	
09/045,518	Van Luchene	03/20/98	3642	
09/083,689	Van Luchene	05/21/98	2761	
09/107,971	Van Luchene	06/30/98	3642	

The Examiner is respectfully requested to fully consider and independently ascertain the teachings and relevance of those applications with respect to the instant application. These applications have not been listed on the accompanying Form PTO-1449 in order to preserve their secrecy during the course of their prosecution.

12. [X] The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0271. A copy of this authorization is attached.

Application Serial No.: 09/219,267

Attorney Docket No.: 98-109

13. [ ] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed concurrently with a Request for Continued Examination.

Respectfully submitted,

September 17, 2002

Date

Dean P. Alderucci

Attorney for Applicants PTO Registration No. 40,484

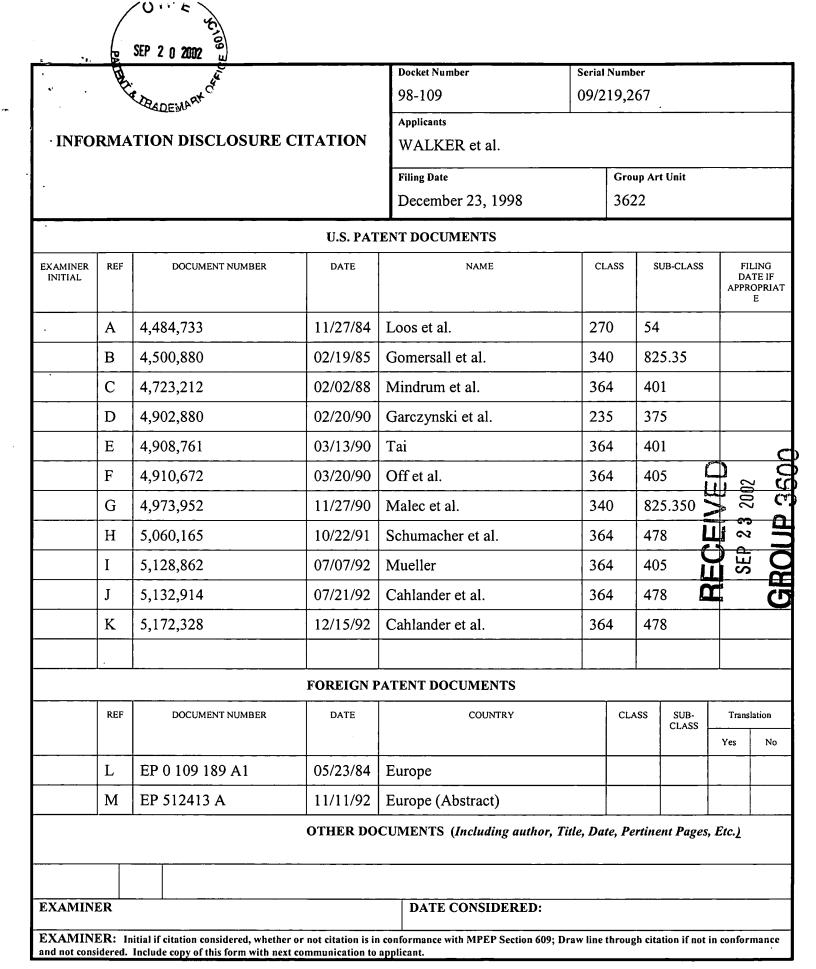
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			U.S. PAT	ENT DOCUMENTS					
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	N	5,177,342	01/05/93	Adams	235	379			
•	0	5,192,854	03/09/93	Counts S S	235	375			
•	P	5,200,889	04/06/93	Mori P	364	401			
	Q	5,201,010	04/06/93	Deaton et al. $\Box$ $\omega$	382	7			
,	R	5,216,595	06/01/93	Protheroe S S Myatt et al.	364	412			
	S	5,231,569	07/27/93	Myatt et al.	364	408			
	Т	5,245,533	09/14/93	Marshall	364	401			
	U	5,256,863	10/26/93	Ferguson et al.	235	383			
	V	5,274,547	12/28/93	Zoffel et al.	364	408			
	W	5,302,811	04/12/94	Fukatsu	235	381			
	X	5,309,355	05/03/94	Lockwood	364	401			
			FOREIGN P	ATENT DOCUMENTS					
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	Y	JP 05257950 A	10/08/93	Japan (Abstract)					
	Z	EP 0 607 686 A2	07/27/94	Europe					
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	AA	5,353,219	10/04/94	Mueller et al.		364	405		
-	BB	5,367,450	11/22/94	Pintsov		364	401		
	CC	Re. 34,915	04/25/95	Nichtberger et al.		364	401		
	DD	5,459,306	10/17/95	Stein et al.		235	383		
	EE	5,481,094	01/02/96	Suda	끘	235	383		
	FF	5,504,475	04/02/96	Houdou et al.	O S	340	825.35		
	GG	5,510,979	04/23/96	Moderi et al.	· 17	364	405		
_	НН	5,564,546	10/15/96			194	216		
	II	5,572,653	11/05/96	Molbak et al.  DeTemple et al.	Ü	395	501		
	JJ	5,581,064	12/03/96	Riley et al.		235	383		
	KK	5,592,376	01/07/97	Hodroff		395	214		
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<u> </u>	LL	WO 96/36926	11/21/96	PCT					
	MM	WO 97/35441	09/25/97	PCT					
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	XX	5,684,965	11/04/97	Pickering		395	234	-	
	WW	5,665,953	09/09/97	Mazzamuto et al.		235	383		
	VV	5,664,115	09/02/97	Fraser	9	705	37		
	UU	5,655,089	08/05/97	Bucci & S	3 <	395	240		
	TT	5,652,784	07/29/97	Blen et al.	e H	379	67		
	SS	5,632,010	05/20/97	Briechle et al.		345	1		
	RR	5,621,640	04/15/97	Burke Sriechle et al.	$\mathcal{I}$	395	214		
	QQ	5,621,201	04/15/97	Langhans et al.		235	380		
•	PP	5,620,079	04/15/97	Molbak		194	217		
	00	5,612,868	03/18/97	Off et al.		364	214		
	NN	5,602,377	02/11/97	Beller et al.		235	462		
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ZZ		5,724,525	03/03/98	Beyers, II et al.	3	95	240		
AA	A	5,724,886	03/10/98	Ewald et al.	9	9	374		
BB	В	5,729,693	03/17/98	Holda-Fleck	3	95	214		
CC	C	5,761,647	06/02/98	Boushy	7	05	10		
DD	D	5,832,457	11/03/98	O'Brien et al.	7	05	14		
EE	E	5,845,259	12/01/98	West et al.	7	05	14		
FFI	F	5,890,718	04/06/99	Byon	2	273	459		
GG	G	5,946,665	08/31/99	Suzuki et al.	7	05	26		
НН	(H	6,014,634	01/11/00	Scroggie et al.	7	05	14		
III		6,026,370	02/15/00	Jermyn	7	05	14		
JJJ		6,035,281	03/07/00	Crosskey et al.	7	705	14	_	
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## **Docket Number** Serial Number 98-109 09/219,267 Applicants INFORMATION DISCLOSURE CITATION WALKER et al. Filing Date December 23, 1998 3622 **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT NUMBER CLASS DATE NAME SUB-**FILING** INITIAL **CLASS** DATE IF APPROPRIAT 705 KKK 6,064,987 05/16/00 Walker et al. 38 LLL 705 6,138,105 10/24/00 Walker et al. 10 US 6,223,163 B1 04/24/01 Van Luchene 705 1 MMM 705 NNN US 6,298,329 B1 10/02/01 Walker et al. 14 000 US 6,298,331 B1 10/02/01 Walker et al. 705 15 FOREIGN PATENT DOCUMENTS REF DOCUMENT NUMBER DATE COUNTRY CLASS SUB-Translation CLASS OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.) "Introducing the Digital MenuBoard", Siren Technologies, Inc., (www sirentech com), undated. PPP "Cape Town", Reuters, November 8, 1979. QQQ "Save the Mark", Financial Times London, February 1, 1983, Section: Section I, Men & Matters, **RRR** Cook, Louise, "ConsumerWatch: Clip, Snip, Save", Associated Press, March 12, 1984, Section: SSS Business News. Greene, Jan, "Farm bills please assn; National Grocers Association", Supermarket News, TTT December 23, 1985, Section: Vol. 35, pg. 6, ISSN: 0039-5803. **EXAMINER DATE CONSIDERED:** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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			Filing Date December 23, 1998	Group A 3622	rt Unit
	บบบ	"POS spectrum: a lottery looks to P No. 7, pg. 8, ISSN: 0896-6230, CO	OS for growth", POS Nev	vs, January 1989	, Section: Vol. 5,
	VVV	"Let's Play the Cash Register Rece Section: Section 1, pg. 30, Col. 4, E	<u> </u>	ork Times, Dece	mber 25, 1990,
_	www	Del Rosso, Laura, "Marketel says it International", Travel Weekly, Apr	•		
	XXX	"Philips offers customers financing Citicorp North America Inc." Healt ISSN: 0745-4678.		•	
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	BBBB	Fiorini, Phillip, "No Place For Penn	ıy?", USA Today, July 29,	1994, Section:	News, pg. 1A.
	CCCC	Smith, Alison, "Survey of UK Cons 3, 1994, pg. VI.	sumer Credit and Asset Fir	nance", Financia	l Times, November
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		December 23, 1998	3622				
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- IIII	Gapper, John, "NatWest reports ris News, UK, pg. 09.	se in bad debt", Financial Tir	nes, July 31, 1996, Section:				
11111	"LYNX TECHNOLOGY: Lynx to Leasing", M2 Presswire, August 9,		ogramme through Schroder				
KKKK	Taylor, Paul, "Towards a dream m FT IT, pg. 03.	arket", Financial Times, Sep	tember 4, 1996, Section: Survey -				
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Business/Financial Desk.

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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EEEEE Goldberg, Jeff, "Cellular phone information from Point.com", (http www point com/articles/489 asp), download date: November 16, 2000.						
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